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L P.01/05 PATENT APPLICATION

ATTORNEY DOCKET NO. \_\_\_\_\_ 200311745-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Andrew L. Van Brocklin et al

Optical Disc Drive Focusing Apparatus

Confirmation No.: 8591

Application No.: 10/660991

Fort Collins, Colorado 80527-2400

Examiner: Not Yet Assigned

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Group Art Unit: 2183

Filing Date:

Title:

Sep 12, 2003

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## INFORMATION DISCLOSURE STATEMENT

Sir

This Information Disclosure Statement is submitted:

(X) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
( ) under 37 CFR 1.97 (c) together with either a:

( ) Statement under 37 CFR 1.97(e), or
( ) a \$180.00 fee under 37 CFR 1.17(p), or
( ) After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

( ) under 37 CFR 1.97 (d) together with a:

( ) Statement under 37 CFR 1.97(e)(1) or (2), and
( ) a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of <u>\$0.00</u>. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

- (X) Applicant(s) submit herewith Form PTO 1449 Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- ( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

C	)	I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
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(X) I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile number 703878 9306 on 02/09/2005 Number of pages:

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Respectfully submitted,

Andrew L/ Van Brocklin et al

Robert C. Sismilich

Attorney/Agent for Applicant(s)

Reg. No. 41,314

Date: 2/9/05

V\ \C\ PAGE 1/5\* RCVD AT 2/9/2005 1:33:48 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-1/0 \* DNIS:8729306 \* CSID:858 655 5859 \* DURATION (mm-ss):02-06

PTO/SB/08A (08-03)

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Substitute for form 1449/PTO		Application Number	10/660991			
	SOLATION DIGGLACUE	Filing Date	09-12-2003			
	ORMATION DISCLOSURE	First Named Inventor	Van Brocklin et al.			
STA	TEMENT BY APPLICANT	Art Unit	2183			
	(Use as many sheets as necessary)	Examiner Name	Not Yet Assigned			
Shoot	of	Attorney Docket Number	200311745-1			

				DOCUMENTS	
Exeminer Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f Incom)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
		US- 2003-0161224	08-28-2003	Anderson et al.	
		<sup>US-</sup> 2002-0114230	08-22-2002	Kadlec et al.	
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		PCT/US2004/027953				
	<u> </u>	Search Report	01-21-2005	Hewlett-Packard		
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Trias collection of information is required by 37 CFR 1,97 and 1.98. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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